

EMEA-Consolidated

The EMEA-Consolidated form asks the responder, and participating departments, to perform a search of ALL client data based on the information provided below. This form does not guarantee all client data will be retrieved but asks the responder to use best efforts based on the data available to them.

Should the information be available to the responder, the below information will be provided upon completion of the EMEA-Consolidated form:

- List of balances and interest with any associated security, collateral or facilities across all accounts.
- Any contingent liabilities and guarantees pertaining to the client.
- A list of authorised signatories associated with the client.
- Any letter of credits or bills the client may have.
- Any derivative options or FECs the client may have.
- Information relating to any cash management systems the client may hold.

Auditor Setup of the EMEA-Consolidated form

The following image provides a detailed description on what is required for the different fields.

The screenshot shows a web form titled "Add New Account for ABC Holdings (Pty) Ltd" with a "close" button in the top right. The form is divided into four steps: 1. Choose Type, 2. Select Responder, 3. Add Account, and 4. Review. The "Add Account" section contains several fields and a list of authorized signers. Red boxes highlight specific areas, and red arrows point from these boxes to explanatory text on the right side of the image.

Financial Responder: SA Training Bank Commercial
36 Hans Strijdom Ave.,
Cape Town, 8001
South Africa
FDIC: na

Form *
EMEA - Consolidated
Important: The Consolidated form asks the responder, and participating departments, to perform a search of ALL client data based on the information provided below. This form does not guarantee all client data will be retrieved, but asks the responder to use best efforts based on the data available to them.

Authorized Signers * (select up to 4) [add new]
 Chait, Brett
 Joseph, Jebby
 Thompson, Sue

Tax ID

Account ID *

Main Account Sort Code

Include a list of authorized signers for all associated accounts: *
 Yes No

Account Description

* Denotes required field

save & add new
 In-Network Out-of-Network Paper

back save

Financial Responder: This block provides the full address of the financial institution the requests will be sent to.

Form (mandatory field): Select the specific form you wish to submit through to the bank.

Authorised Signers (mandatory field): Select the client signer that is the bank mandated signer for this account.

Tax ID: This is the Tax ID of the client.

Account No (mandatory field): This is the account number as per the client's bank statements.

Account Description: This describes the nature of the account. This is not a mandatory field, so it can be left blank.

Main Account Sort Code: Users must enter the client's customer number in this field.

List of Authorised Signers (mandatory field): Users have the option of obtaining a list of authorised signers for all associated accounts

Completed EMEA-Consolidated form

The below image describes what the EMEA-Consolidated form will look like once the bank has completed the form. The image also highlights where the auditor needs to focus on to obtain the information, they require to complete their audit.

Client's Account Information					
Main Account Sort Code	Account ID	Type/Form			
	8743-0098	Financial/EMEA - Consolidated			

Confirmation Request				
Status	As of Date	Request ID	Delivery Method	
✔ Completed	31/10/2016 (dd/mm/yyyy)	7xm0.18k1	In-Network	
A review of our records indicates the supplied information:				

Attachments					
File Name	Date	Size	User Name	User Type	
Consolidated Details.pdf	07/12/2016	80.9 KB	Clark Hamilton	Clerk	

Account Name: This is the account name as per what was provided by the auditor.

Account Number: This is the account number as per what was provided by the auditor.

Type/Form: This is the type of form that was sent through to the financial responder.

Status: This field indicates if the request was completed or denied by the financial responder.

As of Date: This is the year end date selected by the auditor

Review of our Records: The bank will provide the relevant details associated to the entity

Attachments: The bank has the option of attaching documentation to further assist the auditor.